



Fraud and Abuse Control Tracking System (FACTS™)



Reports of sanctions and disciplinary actions against certificate holders, registrants, and licensees.



Why FACTS™ ?

FACTS meets the JCAHO's ten evaluation principles for the Joint Commission standards to be considered a primary source reporter.

FACTS provides a single source option for sanctions data, license data, DEA, sex offender, criminal searches, and the credentialing services requested by BMC.

FACTS secures data or contacts all primary sources. We do not rely on any 3rd party or self-reported content.



Why FACTS™ ? (continued):

FACTS is both NCQA and URAC accredited/certified. Only a handful of data providers across the country can claim that distinction.

Our approach and discipline associated with primary source verification has been evaluated, challenged and approved by the most reputable organizations in healthcare.



What is FACTS™ ?

FACTS acquires, aggregates, links and publishes sanctions and disciplinary actions through our Fraud and Abuse Control Tracking System , or “FACTS”.

“Sanctions” includes any type of official conviction, debarment, decree, denial, disciplinary action, disqualification, exclusion, expulsion, hindrance, order, penalty, probation, reprimand, sanction, suspension, termination or any type of adverse action taken against a certificate holder, registrant or license/licensee.



What is included in FACTS™ ?

FACTS includes Federal* plus full taxonomy by state/district/commonwealth /territory against all boards, agencies or regulatory entities that issue certificates, registrations or licenses (i.e. approximately 830, considerably more than just MDs and DOs alone) to practice or deliver healthcare goods or services (i.e. persons, professionals, and entities).

*OIG, GSA, DEA, FDA, ORI, PHS, Tricare



Moreover, **FACTS includes all state-level sanctions** in addition to the information provided from the federal sources above.

So, why is this important?



“Two-Thirds of Providers with Final Actions Imposed by State Medicaid Agencies In 2004 and 2005 were not found in the Office of Inspector General (OIG) Exclusions Database.”

Source: Memorandum Report to the Director, Medicaid Integrity Program, by the Deputy Inspector General for Evaluation and Inspections -August 5, 2008



Without **a complete review of available state-level sanctions data**, clients run the risk of missing individuals and entities who are trying to hide their record by hopping states or health professions.

Individuals can change business names or paper ownership, and/or attest they have "never" been sanctioned when in fact they have.



Additionally, the historical sanctions data improves the potential to anticipate recidivism for those previously sanctioned and/or those linked to criminal actions (county/state) and/or abuse registries (county/state) which are also available from SafeGuard.



As a primary source data aggregator, FACTS data is collected from thousands of federal and state data sources, which is retained to provide the most complete historical view on providers, employees and entities.

No other data aggregator can match the breadth and depth of this data content.



The resulting capabilities provides our customers greater probability of identifying individuals and entities who are trying to hide their record by:

- hopping states or health professions,
- changing business names or paper ownership, and/or
- those attesting they have "never" been sanctioned when in fact they have.



Moreover, FACTS searches and deploys “entity resolution technology.”

You need not miss identification due to a missed character or other minor problems with your query.



FACTS is not dependent of sources to notify us of data changes.

FACTS actively query sources and integrate data changes before notification of the primary source that the data has changed.

As such, FACTS provides the most accurate, current and complete data available.



FACTS™ data sources:

DEA, Office of Diversion Control, Criminal and Administrative Cases Against Doctors

DEA, Office of Diversion Control, Registrant Actions

Food and Drug Administration – Warning Letters

Food and Drug Administration – Debarment List

Food and Drug Administration – Disqualified/Restricted/Restrictions/Removed
/Assurances Lists for Clinical Investigators

TRICARE Sanctions

U.S. Department of Commerce, Bureau of Industry and Security, Denied Persons List

U.S. Department of Commerce, Bureau of Industry and Security, Entity List,
Supplement No. 4 to part 744 of the of the Export Administration Regulations

U.S. Department of Commerce, Bureau of Industry and Security, Unverified List

U.S. Department of Health and Human Services, Office of the Inspector General (OIG)
List of Individuals/Entities Excluded from Federal Health and Medicare Programs



FACTS™ data sources (continued) :

U.S. Department of Health and Human Services, Office of Research Integrity, PHS Administrative Actions

U.S. Department of State, Directorate of Defense Trade Controls, Statutorily Debarred Parties

U.S. Department of Treasury, Office of Foreign Asset Control, Specially Designated Nationals

U.S. General Services Administration (GSA) List of Parties Excluded from Federal Procurement Programs

U.S. General Services Administration (GSA) List of Parties Excluded from Federal Nonprocurement Programs

U.S. General Services Administration (GSA) List of Parties Excluded from Federal Reciprocal Programs



FACTS™ data sources (continued) :

State Board Issued Sanctions

State Division Issued Sanctions

State Department Issued Sanctions

State [entity] Sanctions, i.e. any other type of state governmental entity with administrative, regulatory or other state powers to oversee a profession or business operation that may issue, restrict or revoke a professional or business license, registration or certificate to engage in the practice of delivering health care related services or goods.

State Contractor Disqualification Lists

State Registry

State Medicaid Exclusions

Medicare/Medicaid Opt-Out Lists



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